

PTO/SB/08a/b (08-03)

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|  |   |    |   | Application Number       | 10/796,930                          |
|  |   |    |   | Filing Date              | March 10, 2004                      |
|  |   |    |   | First Named Inventor     | Hongbin Zhang                       |
|  |   |    |   | Art Unit                 | 2872                                |
|  |   |    |   | Examiner Name            | <del>Not Yet Assigned</del> Lavaras |
|  |   |    |   | Attorney Docket Number   | TYCOTE 3.0-003                      |
| Sheet  | 1 | of | 2 |                          |                                     |

| U.S. PATENT DOCUMENTS |                       |  |                                |  |   |
|-----------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| AC                    | AA                    | US-5,111,322                             | 05-05-1992                     | Bergano et al.                                     | 398/74  |
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|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if known) |                                |  |   |
|                          |                       | NONE  |                                |  |   |

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| NON PATENT LITERATURE DOCUMENTS |                       |   |  |  |                |
|---------------------------------|-----------------------|---|--|--|----------------|
| Examiner Initials               | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  | T <sup>2</sup> |
| AC                              | CA                    | Heismann et al., Broadband Reset-Free Automatic Polarisation Controller, Electronics Letters, Vol. 27, No. 4, February 1991, pgs. 377-379.  |  |  |                |
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| Examiner Signature | <i>Amel C. Lavaras</i> | Date Considered | 3/13/04 |
|--------------------|------------------------|-----------------|---------|

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|--|---|----|---|--------------------------|--------------------------------------|
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|  |   |    |   | Examiner Name            | <del>Not Yet Assigned</del> Lavarias |
| Sheet  | 2 | of | 2 | Attorney Docket Number   | TYCOTE 3.0-003                       |

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| <i>AC</i> | CN | Shih-tse Hu et al., Low-PDG Raman Amplification via 10 GHz Polarization Sweeping with LiNbO3 Phase Modulator, Optical Society of America, ©2002.  |  |
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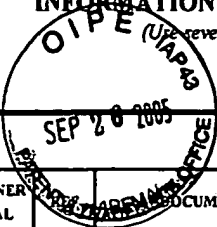
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

|                    |                         |                 |         |
|--------------------|-------------------------|-----------------|---------|
| Examiner Signature | <i>Amel C. Lavarias</i> | Date Considered | 3/13/06 |
|--------------------|-------------------------|-----------------|---------|

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

TCM215

Application Number

10/796,930

Applicant(s)

Zhang et al

Filing Date

03/10/2004

Group Art Unit

2872

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|------|------|-------|----------|-------------------------------|
|                      | None            |      |      |       |          |                               |
|                      |                 |      |      |       |          |                               |
|                      |                 |      |      |       |          |                               |
|                      |                 |      |      |       |          |                               |
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|     |     |                 |            |         |       |          | YES         | NO |
| ALL |     | 0310174         | 04/05/1989 | EPO     | H04B  | 9        |             |    |
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EXAMINER

Amel C. Faruqi

DATE CONSIDERED

3/13/06

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